

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

10/594

399

Docket No.

DC10103PCT1

In Re Application Of:

LIN et. al

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
			00137		

Title: Aqueous Dispersions of Silicone Polyether Block Copolymers

Address to:  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

10/594399

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. DC10103PCT1	
In Re Application:				LIN et al <b>AP01 Rec'd PCT/PTO 26 SEP 2006</b>	
Application No.	Filing Date	Examiner	Customer No. 00137	Group Art Unit	Confirmation No.
Title: Aqueous Dispersions of Silicone Polyether Block Copolymers					
<div style="text-align: center;"> <b>Payment of Fee</b>          (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))       </div> <div style="margin-top: 10px;"> <input type="checkbox"/> A check in the amount of _____ is attached.  <input type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 04-1520 as described below.           <div style="margin-left: 20px;"> <input type="checkbox"/> Charge the amount of \$ _____  <input type="checkbox"/> Credit any overpayment.  <input type="checkbox"/> Charge any additional fee required.           </div> </div> <div style="margin-top: 10px;"> <input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.         </div> <div style="margin-top: 10px;"> <b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b> </div> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="width: 48%;"> <p style="text-align: center;"><b>Certificate of Transmission by Facsimile*</b></p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">           I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)         </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">           _____            (Date)         </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">           _____            Signature         </div> <div style="border: 1px solid black; padding: 5px;">           _____            Typed or Printed Name of Person Signing Certificate         </div> </div> <div style="width: 48%;"> <p style="text-align: center;"><b>Certificate of Mailing by First Class Mail</b></p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">           I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a) _____]         </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">           _____            (Date)         </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">           _____            Signature of Person Mailing Correspondence            Christine M. Fitak         </div> <div style="border: 1px solid black; padding: 5px;">           _____            Typed or Printed Name of Person Mailing Certificate         </div> </div> </div> <div style="margin-top: 10px;"> <p><b>*This certificate may only be used if paying by deposit account.</b></p> <div style="display: flex; justify-content: space-between; align-items: flex-start; margin-top: 10px;"> <div style="width: 45%;">            _____            Signature         </div> <div style="width: 45%;">           Dated: 9-24-2006         </div> </div> <div style="margin-top: 20px;">           CustomerNumber 00137         </div> </div>					
<div style="display: flex;"> <div style="width: 45%;"> <p>Alan Zombeck            Reg. No. 45,260            Telephone: 989-496-3101            Patent Agent            Mail Number C01232            P.O. Box 994            2200 W. Salzburg Road            Midland, MI 48686-0994            UNITED STATES OF AMERICA</p> </div> <div style="width: 55%;"></div> </div>					
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